

|   |  |   |  |   |  |
|---|--|---|--|---|--|
| FORM PTO-1390<br>(REV. 9-2001)  |  | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE |  | ATTORNEY'S DOCKET NUMBER<br>JEN-005 <span style="float: right; font-size: 1.5em;">#3</span> |  |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A FILING UNDER 35 U.S.C. 371</b>   |  |   |  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5<br>10/089,007                                |  |
| INTERNATIONAL APPLICATION NO.<br>PCT/GB00/04616   |  | INTERNATIONAL FILING DATE<br>1 DECEMBER 2000            |  | PRIORITY DATE CLAIMED<br>2 DECEMBER 1999  |  |
| TITLE OF INVENTION<br>COPYRIGHT PROTECTION SYSTEM   |  |   |  |   |  |
| APPLICANT(S) FOR DO/EO/US<br>Richard M. Gooch   |  |   |  |   |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |  |   |  |   |  |
| 1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.<br>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.<br>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.<br>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).<br>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<br>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).<br>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. (publ. as WO 01/41138)<br>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).<br>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<br>a. <input checked="" type="checkbox"/> <del>XXXXXXXXXX</del> is not required. Int'l. appln. in English<br>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).<br>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<br>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).<br>b. <input type="checkbox"/> have been communicated by the International Bureau.<br>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.<br>d. <input type="checkbox"/> have not been made and will not be made.<br>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).<br>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).<br>10. <input type="checkbox"/> An English lanugage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). |  |   |  |   |  |
| <b>Items 11 to 20 below concern document(s) or information included:</b>  |  |   |  |   |  |
| 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.<br>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.<br>13. <input type="checkbox"/> A FIRST preliminary amendment.<br>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.<br>15. <input type="checkbox"/> A substitute specification.<br>16. <input type="checkbox"/> A change of power of attorney and/or address letter.<br>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.<br>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).<br>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).<br>20. <input checked="" type="checkbox"/> Other items or information:   |  |   |  |   |  |
| Certification Under 37 CFR 1.10<br>Copy of Notification of Missing Requirements<br>Petition for Extension of Time.  |  |   |  |   |  |

|   |  |   |  |                                     |  |
|---|--|---|--|-------------------------------------|--|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) |  | INTERNATIONAL APPLICATION NO.<br>PCT/GB00/04616 |  | ATTORNEY'S DOCKET NUMBER<br>JEN-005 |  |
|---|--|---|--|-------------------------------------|--|

|   |              |              |           |  |  |
|---|--------------|--------------|-----------|--|--|
| 21. <input checked="" type="checkbox"/> The following fees are submitted:<br><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b><br>Neither international preliminary examination fee (37 CFR 1.482)<br>nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO<br>and International Search Report not prepared by the EPO or JPO. .... \$1040.00<br><br>International preliminary examination fee (37 CFR 1.482) not paid to<br>USPTO but International Search Report prepared by the EPO or JPO ..... \$890.00<br><br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO<br>but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$740.00<br><br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$710.00<br><br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00<br><br><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> |              |              |           | <b>CALCULATIONS PTO USE ONLY</b><br><br><br><br><br><br><br><br><br><br> |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30<br>months from the earliest claimed priority date (37 CFR 1.492(e)).   |              |              |           | \$ 130.00  |  |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA | RATE      |  |  |
| Total claims  | - 20 =       |              | x \$18.00 |  |  |
| Independent claims  | - 3 =        |              | x \$84.00 |  |  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |              |              |           | + \$280.00   |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |              |           | \$ 130.00  |  |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above<br>are reduced by 1/2.  |              |              |           | + \$ 65.00   |  |
| <b>SUBTOTAL =</b>   |              |              |           | \$ 65.00   |  |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30<br>months from the earliest claimed priority date (37 CFR 1.492(f)).   |              |              |           | \$   |  |
| <b>TOTAL NATIONAL FEE =</b>   |              |              |           | \$ 65.00   |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be<br>accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +   |              |              |           | \$   |  |
| <b>TOTAL FEES ENCLOSED =</b>  |              |              |           | \$ 65.00   |  |
| 09/24/2002 GFREY1 00000098 10089007<br><br>01 FC: 254 65.00 OP  |              |              |           | Amount to be refunded: \$  |  |
|   |              |              |           | charged: \$  |  |

a. ☒ A check in the amount of \$ 65.00 to cover the above fees is enclosed. (This amount is already included in the check for \$265.00 ⇒ \$200 is for 2-month time extension.)

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0590. A duplicate copy of this sheet is enclosed.

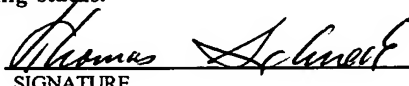
d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Thomas Schneck  
 Law Offices of Thomas Schneck  
 P.O. Box 2-E  
 San Jose, CA 95109-0005  
 Tel: (408) 297-9733  
 Fax: (408) 297-9748  
 Email: tschneck@patentvalley.com

  
 SIGNATURE  
 Thomas Schneck  
 NAME  
 24,518  
 REGISTRATION NUMBER